

CERTIFICATE OF MAILING

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December 11, 2000

Date of Deposit

Darin E. Bartholomew

Name of Applicant, Assignee or
Registered Representative

Darin E. Bartholomew

Signature

12/11/00

Date of Signature



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Technology Center 2600

Our Case No.: 10508/18

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Huan -Yu Su and Yang Gao

Serial No.: 09/663,002

Filing Date: September 15, 2000

For: SYSTEM FOR SPEECH
ENCODING HAVING AN
ADAPTIVE ENCODING
ARRANGEMENT

Examiner: To Be Assigned

Group Art Unit No.: To Be Assigned

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and

made of record. Copies of the listed documents required by 37 C.F.R. § 1.98 are enclosed for the convenience of the Examiner.

In accordance with Rule 37 C.F.R. § 1.98(d) only copies of documents not previously cited and submitted to the Patent and Trademark Office in the prior application Serial No. 09/154,660 are enclosed. Please refer to U.S. application serial number 09/154,660 for copies of references that are not enclosed herein. This application is a continuation-in-part application of U.S. Serial No. 09/154,660, filed September 18, 1998 and is relied upon for an earlier filing dated under 35 U.S.C. § 120.

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

A handwritten signature in cursive script, reading "Darin E. Bartholomew", written over a horizontal line.

Darin E. Bartholomew
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